

Global Compliance Management Solution

Coverage:

Corporate Events

Compliance

Laws & Rules

Documents

Legal Definitions

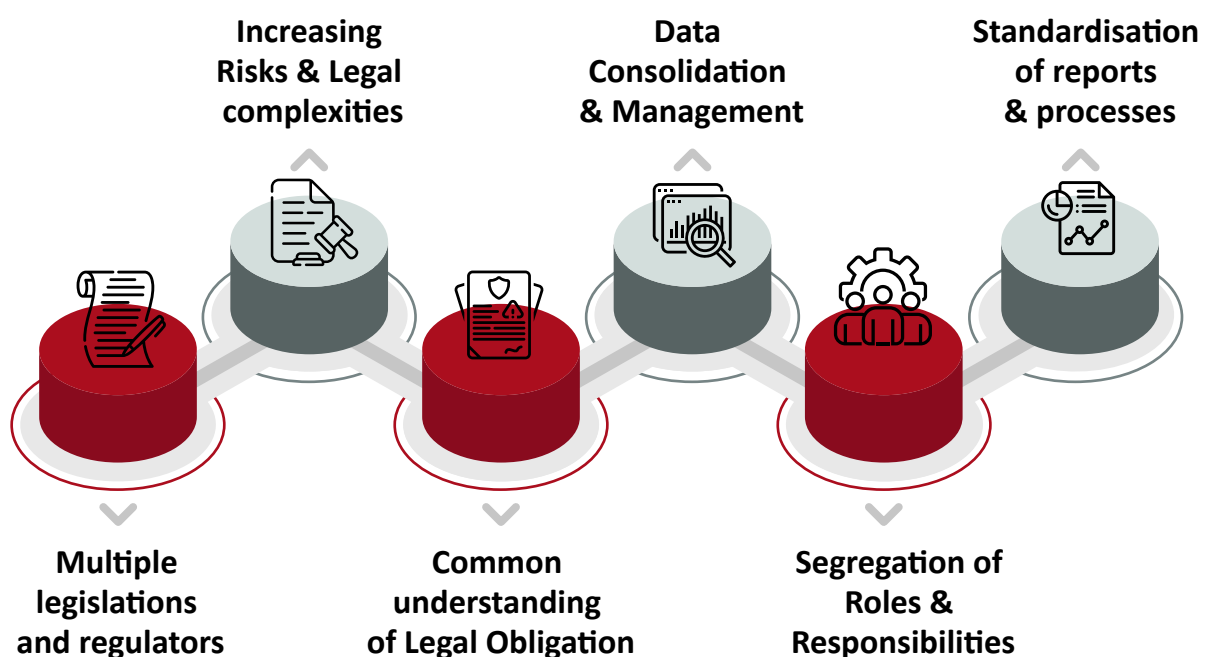
The need for managing Regulatory Risks

CXO's globally have ranked changes in Legislation and Regulation as 4th major Business Risks*, businesses need to be compliant with a multitude of laws across Central & other levels

As GCC countries strive to position themselves as global business hubs, governments and regulatory bodies are actively enhancing their standards, laws, and guidelines to align with international best practices.

We have recently witnessed significant changes in Regulatory framework across almost all major area of laws in UAE / GCC countries.

Based on certain surveys, 91% of organizations in GCC region believe that compliance pressures are taking up a significant proportion of their time and resources.

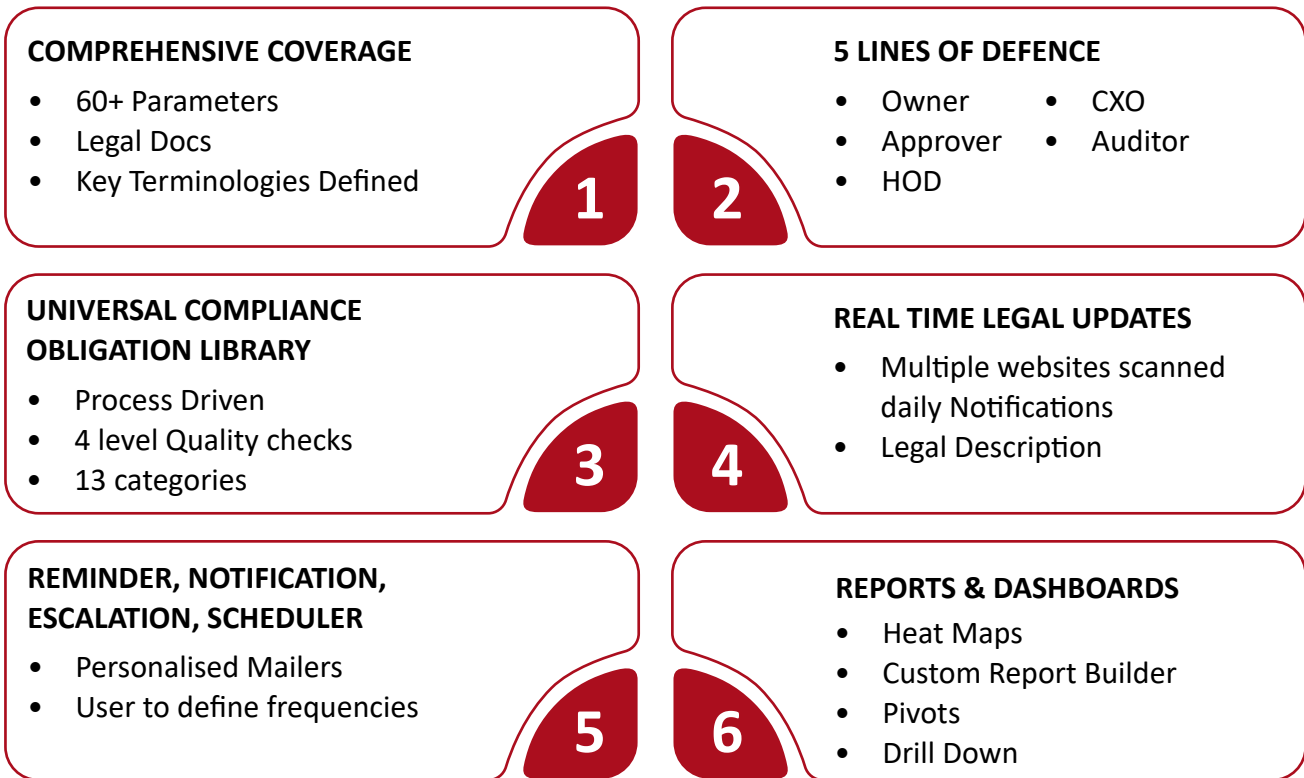


How We help navigate complex compliance workflows?

Trusted by 550+ Entities, 15,000+ Users across 40+ Industries globally, GCMS is preferred choice of CFOs, Compliance Officers, General Counsels to improve organisation & group level Compliance Culture

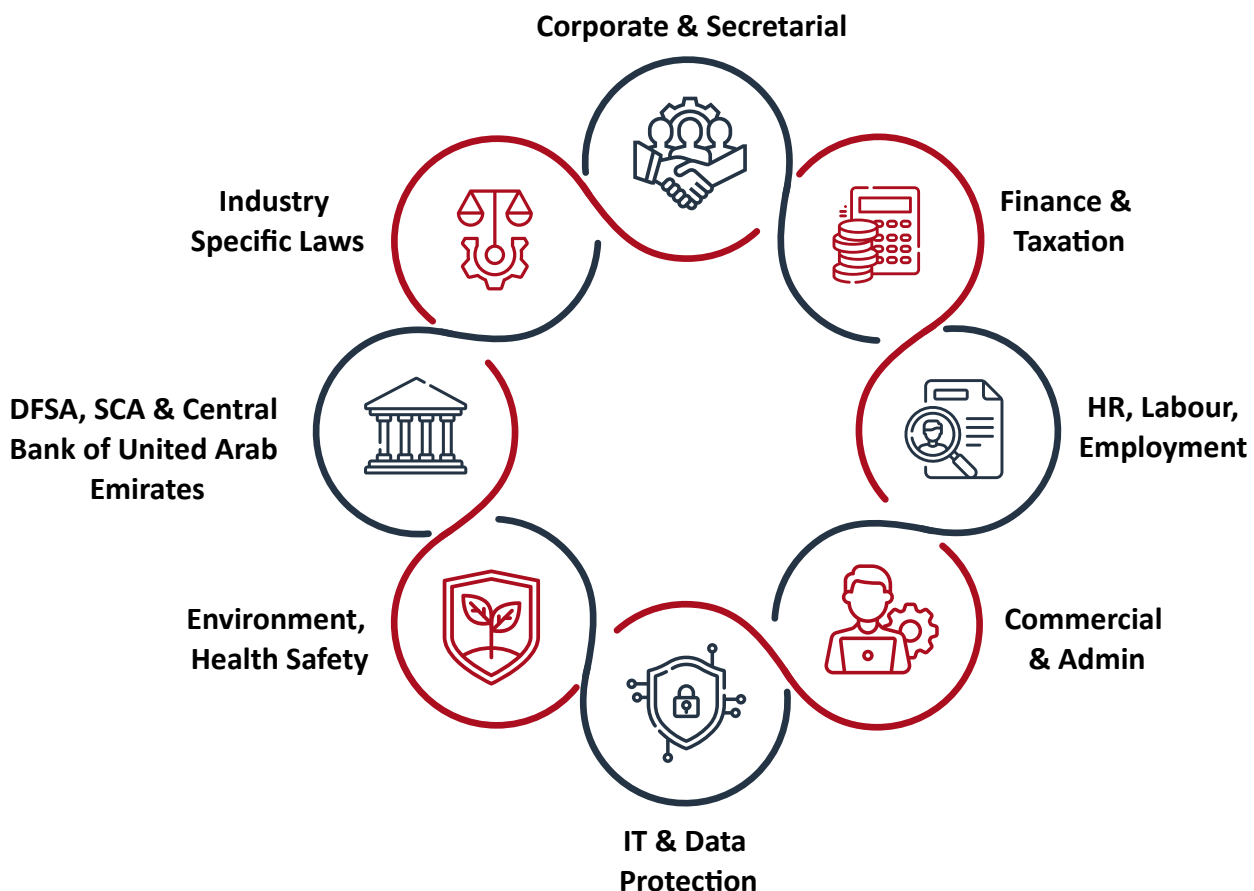
- GCMS integrates flexible & customised workflows with Regulatory Intelligence from U A E & 70+ Countries
- Created with decades of experience of its founding team in building technology solutions, consulting MNCs, industry leaders, start-ups in strengthening their Compliance Framework.
- Users have experienced an improved adherence, user satisfaction & reduction in overall cost and time in managing compliance.

Important Functionalities



Legal Coverage

GCMS provide comprehensive coverage across all type of laws applicable on the business.

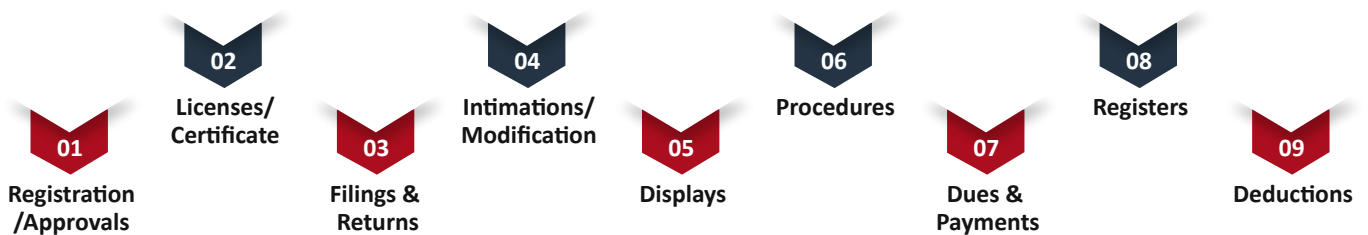


Compliance Categorisation

Laws suggests varied type of obligations; we intelligently bifurcate them to ensure you don't miss any

60+ Parameters covering various compliance aspects:

- **Compliance Description** - Section, Para & Rule, Frequency, Filing Requirements, Filing Type, Form Copy, PenaltyType, Estimated Penalty Amount, Risk Categorisation, Events, Compliance Type, Category, Additional Information & More..
- **Key Terminologies** as defined in act & rules with Section reference
- **Act Documents** - Copy of Bare Act, Rules, Forms & Formats, etc
- **Real Time Regulatory Updates** - Notifications, Amendments, Circulars, Clarifications, Press Releases, Schedules, Ordinance, Guidelines, etc.



Compliance Modules

Basis type of action required, compliance across categories are further bifurcated in 4 modules

ONE TIME

Licenses, Approvals, Registrations, etc.

- Licenses, Approvals, Registrations & Certifications required during commencement of business, office, service, product line, etc.
- Appears in loop basis date and duration of approval granted

RECURRING

Monthly, Quarterly, Bi-Annual, Annual Filings

- Biggest worry of any CXO are filings under various laws; not having a consolidated, vetted, calendar covering all obligations adds to concern
- Designed to be auto triggered on due date in owner's calendar

EVENT BASED

Secretarial & Non Secretarial Corporate Actions

- Intelligently identifies obligations from all applicable laws across locations for 500+ Corporate Events; auto assign to relevant user
- Descriptive notes on Events

ONGOING

Registers, Procedures, Displays, Provisions, etc.

- Usually hidden in finer prints on laws and are understood either by SME's; to be followed throughout the business operations
- Self assessment surveys triggered on pre-set frequency (could be different across functions)
- Auto fill remarks basis last submitted Compliance

Technology Features

Build on most advanced platform & hosted on AWS, our solution is feature rich, intuitive and easy to use.

01 Workflow Management

- 5 layers of defence - Performer, Approver, Group Owner, CXO & Auditor
- Compliance Organogram inline with reporting structures, cross functional collaborations
- Role based access at each level
- Approve, Reject, Reallocate compliance tasks
- Integrate 3rd parties (Vendors & Service providers) for compliance reporting
- Track expenses, interest, penalty, service fee
- For event base compliance, restrict trigger rights, define if approver required & approver

02 Auto Populated Calendars

- Gets Auto populated for entire fiscal in January; enables effort planning & budgeting
- Real time auto updation basis legal changes
- Colour coding on lines of heat map for each date basis compliance status and criticality
- Compliance Surveys are auto triggered on last date of the period; configure periodicity basis criticality and maturity of the function
- Define Pre & Post compliance dependencies along with target dates for different user
- Intuitive calendar with list view options

03 Document Management

- Define type of documents and maximum size of documents allowed to be uploaded
- Configure Compliance proof policy for performer & approver for 14 categories of compliance
- Advance search for download basis multiple parameters; download multiple documents
- User can upload multiple documents, tag them as Evidence, Supporting doc & Working Sheet
- Add Confidentiality tag for each document
- Temper proofing of document - Once uploaded, deletion, modification not allowed

04 Intuitive Reports & Dashboards

- Interactive dashboards with drill down, trend charts, location & function wise heat maps
- Global Heat Map with drill down to country and state levels
- 5x5 Risk chart basis custom risk categorisation
- “Matrix Report”, an innovative report builder.
 - Users can create & save multiple report templates with 10 filters and ~40 columns
 - Download report in Excel, CSV, Pdf
 - Schedule report for auto mailing on defined date & time every week / month

05 Value Added Innovative Features

- Integrated Ticket Centre: Users can raise support tickets, interact, get resolutions
- Manuals to help user learn the system
- User can customise Notification, Reminder settings; define frequency & periodicity
- Internal chat Application: Users can chat with other active users, leave offline messages
- Audit Trails for changes in compliance status
- User rights, settings by Admin
- Contact Directory with user details
- Regulatory Update mailer frequency

Other Key Features & Add On Modules



Compliance Certificate
(HODs >> CCO >> BODs)



Compliance Calendar
(Updated with recent changes)



Legal Articles, Research Papers

INTERNAL COMPLIANCE MODULE (Highly flexible & agile)

Customise Forms & templates - Add new columns, drop downs Create, Edit, Duplicate & allocate compliance

Create multiple Use Cases & Custom Templates to manage-

Litigations • Notices • Agreements • Internal tasks • Loan covenants • Controls • Documents • Others

The solution is provided in collaboration with a Technology partner

Lifetime Support

Offered as SaaS; GCMS comes with our commitment to keep it updated, without client involvement



Technology Upgrades

Underlying technology & app are upgraded frequently to keep with changing needs & evolving tech landscape



R&D

A substantial portion of revenue is ear marked for R&D, build new functionalities to ease end user work



Domain & Tech Support

A substantial portion of revenue is ear marked for R&D, build new functionalities to ease end user work



Support Services

“Compliance Health Checks, Business Impact Analysis, Configuration & Operation Support” - On Demand

Our Global Network

We leveraged expertise of lawyers, legal experts from Tier-1 & 2 Law Firms, CPAs, consulting firms globally to create a Global Regulatory Intelligence, to offer you a global risk framework through single application.



NORTH AMERICA

- Canada
- Costa Rica
- Guatemala
- Mexico
- U.S.A

EUROPE

- Austria
- Belgium
- Cyprus
- Czech Republic
- Denmark
- Finland
- France
- Germany
- Hungary
- Iceland
- Ireland
- Italy
- Luxembourg
- Malta
- Netherlands
- Norway
- Poland
- Portugal
- Romania
- Russia
- Slovakia
- Spain
- Sweden
- Switzerland
- Ukraine
- United Kingdom

ASIA PACIFIC

- India
- Brunei
- Bangladesh
- China
- Cambodia
- Hong Kong
- Indonesia
- Japan
- Macau
- Malaysia
- Myanmar
- Phillipines
- South Korea
- Singapore
- Sri Lanka
- Taiwan
- Thailand
- Vietnam

AFRICA

- Algeria
- Botswana
- Ghana
- Ivory Coast
- Kenya
- Liberia
- Malawi
- Morocco
- Mozambique
- Namibia
- Nigeria
- Senegal
- South Africa
- Sudan
- Tanzania
- Tunisia
- Uganda
- Zambia
- Zimbabwe

MIDDLE EAST

- Bahrain
- Egypt
- Israel
- Kuwait
- Oman
- Qatar
- Saudi Arabia
- Turkey
- UAE

SOUTH AMERICA

- Argentina
- Brazil
- Peru

OCEANIA

- Australia
- New Zealand

1,000+
AUTHORITIES

70+
COUNTRIES

5,000+
REGULATIONS

“Our Regulatory Intelligence is agnostic of industry and geographies; enabling us to respond to any client requirement irrespective of its size and scale in a time bound manner”

Our Experts



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